

Auctioneer Registration Application Instructions

- 1. Complete in detail the Application for Registration as an Auctioneer.**
- 2. Attach the appropriate fee payable to the Washington State Treasurer.**
- 3. Complete and return the enclosed surety bond for five thousand dollars (\$5,000.00).**
 - Notarize, sign the surety bond, and confirm that the surety bond seal is affixed before mailing.
 - If gross sales exceed \$25,000.00 during any one calendar year, an auction company license is required.
- 4. Complete and return the Financial Certification Affidavit (attached).**
- 5. Return completed application and supporting documents to:**

Department of Licensing
Auctioneer Section
PO BOX 9048
Olympia, WA 98507-9048

dol.wa.gov

If you do not have a Revenue Tax Number/Unified Business Identifier (UBI) number, please contact the Department of Revenue at 1-800-647-7706, or the Department of Licensing's Master License Service at (360) 664-1400.

***Upon Filing, This Application Becomes a Public Record and is
Subject to Public Disclosure Provisions Under RCW 42.56***

Auctioneer Registration Application

Fee: \$100.00

Make remittance payable to State Treasurer.
Send this application with your remittance to:
Department of Licensing
PO Box 9048
Olympia, WA 98507-9048

FOR VALIDATION ONLY

001-070-202-0000

Applicant Information

Please type or print clearly in dark ink

Applicant Name _____			
<small>LAST</small>	<small>FIRST</small>	<small>MIDDLE</small>	
Address _____		Residence Telephone No. () _____	
<small>PO BOX/STREET</small>			
City _____	State _____	Zip _____	County _____
Check one: <input type="checkbox"/> Resident <input type="checkbox"/> Non-resident from _____			
<small>STATE</small>			
Gender (F or M) _____	Date of Birth _____	Social Security No. _____	
	<small>MONTH DAY YEAR</small>	<small>REQUIRED PER RCW 26.23.150</small>	
Business Name _____			
Business Address _____		Business Telephone No. () _____	
<small>PO BOX/STREET</small>			
City _____	State _____	Zip _____	County _____
Washington Corporation No. _____		WA Revenue Tax No. _____	
<small>IF APPLICABLE</small>		<small>UNIFIED BUSINESS IDENTIFIER (UBI)</small>	
Trust Account: _____ / () _____ / _____			
<small>NAME OF BANK</small>		<small>AREA CODE</small>	<small>TELEPHONE NO.</small>
<small>TRUST ACCOUNT NO.</small>			
<p>Proceeds received and not paid to clients within 24 hours must be deposited no later than the next business day in a trust account located in this state (RCW 18.11.230).</p> <p>Type of Business: <input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation If you checked partnership or corporation, attach one copy of the partnership agreement or the current corporation document.</p>			

Applicant Personal Data

1. Have you been convicted of a crime, misdemeanor or felony in this state, any other state, by the federal government, or any other jurisdiction within the past ten years?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2. Is there a criminal complaint, accusation, or information presently pending against you or are you currently under indictment in this state, any other state, by the federal government, or any other jurisdiction?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3. Has any professional or occupational license, certification or permit held by you, been fined, suspended, revoked, refused or denied in this state, any other state, by the federal government or any other jurisdiction?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4. Have you ever had a civil court order, verdict, or judgement entered against you in any court of competent jurisdiction in this state, any other state, the federal government, or any other jurisdiction?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<p>Please attach a letter of explanation for any Yes answers to the questions above, including charge(s), date of conviction, civil judgment or order, county jurisdiction, state, and disposition of charges</p>		

Under RCW 9A.72.085, I declare under penalty of perjury under the law of the state of Washington that the foregoing is true and correct.

Dated this _____ day of _____, 20_____.

Applicant Signature **X** _____

City _____ State _____

OFFICE USE ONLY

Comments _____



Auctioneer or Auction Company Surety Bond

☐ Sole Proprietor ☐ Partnership ☐ Corporation

KNOW ALL PERSONS BY THESE PRESENTS: That _____

APPLICANT/OWNER

doing business as _____

BUSINESS NAME

as Principal, at the following address _____

BUSINESS ADDRESS

and/or

a corporation organized and existing under the laws of the State of _____
and authorized to transact surety business in the State of Washington, as Surety, are held and firmly bound unto the STATE
OF WASHINGTON in the sum of _____ Dollars lawful money of the United States of America to be paid to the said
State of Washington, for which payment well and truly to be made, we bind ourselves, our heirs, executors, administrators,
successors and assigns, jointly and severally firmly by these presents.

THE CONDITION OF THE ABOVE OBLIGATION IS SUCH THAT: Whereas, the said principal has made application for an
Auctioneer/Auction Company license by the Business and Professions Division of the State of Washington for carrying on
the business of Auctioneering within the State of Washington; and is required by Chapter 18.11 RCW to furnish a bond in
the penal sum of _____ Dollars with good and sufficient surety, conditioned as required by said law.

NOW, THEREFORE, If the said principal will comply with all the provisions of Chapter 18.11 RCW of the State of Washington
and with all rules and regulations adopted by the Director of the Department of Licensing, of said state pursuant to the
provisions of Chapter 18.11 RCW and will pay all amounts that may be adjudged against principal by reason of violation of
Chapter 18.11 RCW or any rules or regulations adopted pursuant thereto in the conduct of principal's business as an
Auctioneer/Auction Company then the above obligation shall be null and void; otherwise to remain in full force and effect.

PROVIDED: That any person having a claim against the principal for damage as a result of any violation by principal or his/
her agent of Chapter 18.11 RCW or any rules or regulations adopted pursuant thereto may bring a suit on this bond in the
Superior Court of the County in which the principal's business is located, or of any county in which jurisdiction of the principal
may be had.

PROVIDED FURTHER: That the aggregate liability of the Surety hereunder for any and all claims presented shall not exceed
the penal sum of this bond. PROVIDED FURTHER: That the Business and Professions Divisions shall be notified 30 days
prior to the cancellation of this bond, along with the reason for cancellation or termination. No bond filed shall be approved
unless it expressly provides that it will be effective for one year following the effective date of its cancellation or termination,
whether because of expiration, suspension, or revocation of the license, or otherwise, as to any covered act or acts and
omission or omissions of the licensee occurring on, or prior to, the effective date of cancellation or termination.

IN WITNESS WHEREOF, the said principal and the said Surety have affixed their hands and seal this _____

day of _____, 20 _____.

Effective Date of Bond _____

Bond Number _____

SIGNATURE OF PRINCIPAL

SURETY _____

SIGNATURE OF APPLICANT/OWNER OR INDIVIDUAL AUTHORIZED
TO SIGN FOR PARTNERSHIP OR CORPORATION

Name _____

Attorney-in-Fact _____

Agency Name _____

Resident Agent _____

Address _____

(SURETY SEAL)

Auctioneer/Auction Company Financial Certification Affidavit

NAME (<i>Print</i>)		COMPANY NAME		AUCTIONEER/AUCTION CO. LICENSE NO.	
BUSINESS ADDRESS			CITY	STATE	ZIP CODE
HOME ADDRESS			CITY	STATE	ZIP CODE
BUSINESS TELEPHONE NO. ()		HOME TELEPHONE NO. ()		SOCIAL SECURITY NO. (<i>Required per RCW 26.23.150</i>)	

1. ☐ New Applicant

I have not been licensed as an auctioneer/auction company in Washington state. During the next 12 month period, the total **Estimated Gross** value of goods and/or real estate to be sold will be \$ _____
Please include the auctioneer/auction company surety bond.

2. ☐ Corporation

☐ **Partnership**

☐ **Sole Proprietorship**

This is to certify that during the past calendar year (January 1 through December 31) the total gross value of all goods and/or real estate sold by me was \$ _____

3. ☐ Licensed Auctioneer

This certifies that I have been employed by the following auction company(ies) during the past calendar year, January 1 through December 31:

Attach additional pages if necessary.

Company Name _____

Company Address _____ Co. Telephone No. () _____

Company Name _____

Company Address _____ Co. Telephone No. () _____

According to WAC 308-11-050 and based upon the above declaration, my bond/trust account is in the amount of

\$ _____, and has been issued by _____,

INSURANCE COMPANY/PUBLIC DEPOSITORY

_____ whose agent is _____.

ADDRESS

NAME

Pursuant to RCW 9A.72.085, I declare under penalty of perjury under the law of the state of Washington that the foregoing is true and correct.

Dated this _____ day of _____, 20_____.

Applicant's Signature **X** _____

City _____ State _____